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# **Results**

#### **Keywords:**

cyber-physical system, controller synthesis, reset controller, transverse set, reach-avoid set, differential invariant, semi-definite programming

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# Reset Controller Synthesis: A Correct-by-Construction Way to the Design of CPS

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### **Abstract**

Controller synthesis offers a correct-by-construction methodology to ensure the correctness and reliability of safety-critical cyber-physical systems (CPS). Controllers are classified based on the types of controls they employ, which include reset controllers, feedback controllers, and switching logic controllers. Reset controllers steer the behavior of a CPS to achieve system objectives by restricting its initial set and redefining its reset map associated with discrete jumps. Although the synthesis of feedback controllers and switching logic controllers has received considerable attention, research on reset controller synthesis is still in its early stages, despite its theoretical and practical significance. This paper outlines our recent efforts to address this gap. Our approach reduces the problem to computing differential invariants and reach-avoid sets. For polynomial CPS, the resulting problems can be solved by further reduction to convex optimizations. Moreover, considering the inevitable presence of time delays in CPS design, we further consider synthesizing reset controllers for CPS that incorporate delays.

## **Introduction**

As defined by Baheti and Gill in (Baheti and Gill [2011\)](#page-6-0), *cyber-physical systems (CPS)* refers to a new generation of systems integrating computational and physical capabilities, capable of interacting with humans through various modalities. The ability to interact with, and expand the capabilities of, the physical world through *computation*, *communication*, and *control* serves as an enabler for future technology developments. CPS is pervasive in our daily life, examples include spacecrafts, high speed train control systems, automated plants and factories, and so on. Many of these systems are entrusted with safety-critical tasks, necessitating the development of formally verifiable CPS that are both safe and reliable. However, efficiently developing such CPS remains a longstanding challenge.

Controller synthesis provides a correct-by-construction mechanism to guarantee the correctness and reliability of CPS. In essence, controller synthesis endeavors to create an operational behavior model for a component, based on a model of assumed environmental behaviors and a system goal. This process ensures the system reliably achieves the specified objective when the environment aligns with the provided assumptions. Controller synthesis has attracted increasing attention from computer science and control theory in the past decades. In the case of CPS, an operation (i.e., control) could be either an input to dynamics, a switch condition from one mode to another, an initial condition for each mode, or a reset map when conducting discrete jumps. Depending on the types of controls, controllers can be naturally classified into feedback controllers, switching logic controllers, and reset controllers. In the literature, there is a huge bulk of work on the synthesis of controllers of the first two types, please refer to (Tomlin, Lygeros, and Sastry [2000;](#page-7-0) Asarin et al. [2000;](#page-6-1) Coogan and Arcak [2012;](#page-6-2) Jha et al. [2010;](#page-6-3) Taly, Gulwani, and Tiwari [2011;](#page-7-1) Girard [2012;](#page-6-4) Gulwani and Tiwari [2008;](#page-6-5) Taly, Gulwani, and Tiwari [2011;](#page-7-1) Zhao, Zhan, and Kapur [2013\)](#page-7-2) and the references therein. However, the synthesis of controllers of the third type is still in the infant stage, despite its theoretical and practical significance. Many important practical problems can be reduced to reset controller synthesis, e.g., the substantial instantaneous change in velocity of a spacecraft induced by impulsive controls in satellite rendezvous (Brentari et al. [2018\)](#page-6-6), re-configuring safety-critical devices such as spacecraft when an exception happens, etc. Furthermore, as indicated by the following motivating example, in some cases, the system goal cannot be achieved only with feedback controllers and/or switching logic controllers.



<span id="page-1-1"></span>**Example 1** (A motivating example [\(Liu et al. 2023\)](#page-6-7))**.** *Consider a CPS given in Fig. [1.](#page-1-0) Suppose the safe sets in mode q*<sup>1</sup> *and q*<sup>2</sup>

<span id="page-1-0"></span>

**Figure 1.** Hybrid Automaton for Example [1](#page-1-1)

*are*  $S_1$  = [15, 31) *,*  $S_2$  = (0, 14]*, respectively. As the dynamics in the two modes both are autonomous without inputs, it is impossible to find feedback controllers for them to maintain safety. Moreover, one may easily observe that once a discrete jump happens, the system will not be safe anymore. This means only strengthening the domain constraints and guards for discrete jumps to maintain safety is trivially impossible. However, it is possible to synthesize a reset controller to maintain safety.*

Related Work Numerous studies have delved into verifying hybrid systems, which can broadly be categorized into model-checking and theorem proving. the former is essentially based on reachable set computation, currently can only handle bounded time. For example, tools such as SpaceEx (Frehse et al. [2011\)](#page-6-8), iSAT-ODE (Eggers, Fränzle, and Herde [2008\)](#page-6-9), dReach (Kong et al. [2015\)](#page-6-10), and Flow\* (Chen, Ábrahám, and Sankaranarayanan [2013\)](#page-6-11) fall within this category. In contrast, the latter can provide unbounded verification of HSs with scalability based on specification logics and invariant generation, e.g., *differential dynamic Logic* (dL) (Platzer [2012\)](#page-6-12) and *hyrid Hoare logic* (HHL) (Liu et al. [2010;](#page-6-13) [Zhan et al. 2023\)](#page-7-3). dL demonstrates significant capability in deducing verification for HSs, proving effective across various verification challenges, including the verification of liveness properties (Tan and Platzer [2019\)](#page-7-4) and switched systems (Tan and Platzer [2021\)](#page-7-5) with the help of the tool KeYmaera X (Platzer [2010\)](#page-6-14). While, HHL can handle more complicated behaviors of HSs such as communication, concurrency, and so on, with the help of the tool HHLProver (Wang, Zhan, and Zou [2015\)](#page-7-6). Event-B (Richard [2024;](#page-6-15) Richard et al. [2017;](#page-6-16) Richard et al. [2015;](#page-6-17) [Butler, Abrial,](#page-6-18) [and Banach 2016;](#page-6-18) Dupont et al. [2021;](#page-6-19) Dupont et al. [2022\)](#page-6-20) also stands as a useful method for formal modeling and verifying HSs.

Verification of HSs can also be pursued in a correct-byconstruction manner through refinement syntactically (Back and Wright [2012\)](#page-6-21) or controller synthesis semantically (Bozga and Sifakis [2022\)](#page-6-22). Refinement plays a key role in classical programming theories, however, the counterparts for HSs are really few in the literature, although model-based design has become dominant in the design of HSs. (Loos and Platzer

[2016\)](#page-6-23) proposed differential refinement logic to cope with refinement relation among different levels of abstraction for a given HS. (Yan et al. [2020\)](#page-7-7) defined a set of refinement rules for transforming HCSP to SystemC, and (S. Wang et al. [2024\)](#page-7-8) proposed a set of refinement rules for transforming HCSP to ANSI-C, both with the correctness guarantee based on approximate bisimulation.

Extensive work has been dedicated to controller synthesis for HSs. One category of research focuses on feedback controllers, with various methods addressing this type of synthesis problem, including moment-based methods (Zhao, Mohan, and Vasudevan [2019\)](#page-7-9), Hamilton-Jacobi-based methods (Tomlin, Lygeros, and Sastry [2000\)](#page-7-0), barrier certificates-based methods (Ames et al. [2016\)](#page-6-24), abstraction-based methods (Girard [2012\)](#page-6-4), and counter-example-guided inductive synthesis methods (Abate et al. [2017\)](#page-6-25). Another category addresses the synthesis problem of switching controllers, which can be classified into abstraction-based methods (Girard [2012;](#page-6-4) Tabuada [2009;](#page-7-10) Belta, Yordanov, and Gol [2017\)](#page-6-26), and constraint-solvingbased methods (Taly, Gulwani, and Tiwari [2011;](#page-7-1) Zhao, Zhan, and Kapur [2013;](#page-7-2) Taly and Tiwari [2010\)](#page-7-11). However, since its initial exploration in (Clegg [1958\)](#page-6-27), there has been limited research on reset controllers, which is the focus of our paper.

## **Synopsis of Reset Controller Synthesis**

In this paper, we summarize our recent work on the reset controller synthesis for CPS, details can be found in [\(Liu et](#page-6-7) [al. 2023;](#page-6-7) [Su et al. 2023\)](#page-6-28).

#### **Reset Controller Synthesis Without Time-delay**

Firstly, we investigate reset controller synthesis with ideal mathematical models, i.e., *hybrid automata* (HA), which is a popular model for CPS. Formally,

<span id="page-1-3"></span>**Definition 1.** *An HA H is a tuple*  $(Q, \mathcal{X}, \mathbf{f}, \text{Init}, \text{Dom}, \mathcal{E}, \mathcal{G}, \mathcal{R})$ *, where*

- 
- $Q = \{q_1, q_2, \dots\}$  *is a finite set of* modes;<br>•  $X = \{x_1, \dots, x_n\}$  *is a set of* continuce also written as a vector of variables **x**, whic  $\mathbb{R}^n$ . Normally, we use  $\mathcal{X} \subseteq \mathbb{R}^n$  to der state space, and a (hybri •  $X = \{x_1, \ldots, x_n\}$  *is a set of* continuous state variables,<br>also written as a vector of variables**x**, which is interpreted over<br> $\mathbb{R}^n$ . Normally, we use  $X \subseteq \mathbb{R}^n$  to denote the continuous<br>state space, and a (h *also written as a vector of variables* x*, which is interpreted over*  $\mathbb{R}^n$ . Normally, we use  $\mathcal{X} \subseteq \mathbb{R}^n$  to denote the continuous *state space, and a (hybrid) state of the system is represented as*  $(q, \mathbf{x}) \in \mathcal{Q} \times \mathcal{X}$ ;
- 
- Init  $\subseteq Q \times \mathcal{X}$  *is a set of* initial states;<br>
 Dom :  $Q \rightarrow P(\mathcal{X})$  assigns to each  $q \in Q$ <br>
Dom $q \subseteq \mathcal{X}$ . The system can reside in a mo<br>
constrain of the mode is satisfied;<br>
 **f** :  $Q \rightarrow (\mathcal{X} \rightarrow \mathbb{R}^n)$  assigns t • Dom :  $Q \rightarrow P(X)$  *assigns to each q*  $\in Q$  *a domain, written as*<br>
Dom<sub>q</sub>  $\subseteq \mathcal{X}$ . The system can reside in a mode only if the domain<br>
constrain of the mode is satisfied;<br>
• **f** :  $Q \rightarrow (\mathcal{X} \rightarrow \mathbb{R}^n)$  *assigns to eac*  $Dom<sub>q</sub> \subseteq \mathcal{X}$ *. The system can reside in a mode only if the domain constrain of the mode is satisfied;*
- f :  $Q \rightarrow (\mathcal{X} \rightarrow \mathbb{R}$ <br>continuous vecto:<br>•  $\mathcal{E} \subseteq Q \times Q$  is a s<br>•  $\mathcal{G} : \mathcal{E} \rightarrow P(\mathcal{X})$  as.<br>s.t. the discrete jum<br>•  $\mathcal{R}(\cdot, \cdot) \cdot \mathcal{E} \times \mathcal{X}$ . *n*) *assigns to each*  $q \in \mathcal{Q}$  *a* locally Lipschitz continuous vector field f*<sup>q</sup> defined over* Dom*q;*
- 
- *to each edge e, s.t. the discrete jump can happen only if its guard is satisfied;*
- $\mathcal{E} \subseteq \mathcal{Q} \times \mathcal{Q}$  *is a set of* edges (jumps);<br>
  $\mathcal{G} : \mathcal{E} \rightarrow P(\mathcal{X})$  *assigns a* guard condit<br> *s.t. the discrete jump can happen only if*<br>
  $\mathcal{R}(\cdot, \cdot) : \mathcal{E} \times \mathcal{X} \rightarrow P(\mathcal{X})$  *assigns a* r<br> *edge*  $e \$ •  $G : \mathcal{E} \to P(\mathcal{X})$  *assigns a* guard condition  $G_e$ <br>s.t. the discrete jump can happen only if its guar<br>•  $\mathcal{R}(\cdot, \cdot) : \mathcal{E} \times \mathcal{X} \to P(\mathcal{X})$  *assigns a* reset m<br>edge  $e \in \mathcal{E}$  with  $\mathcal{R}_e : \mathcal{X} \to P(\mathcal{X})$ , that •  $\mathcal{R}(\cdot, \cdot) : \mathcal{E} \times \mathcal{X} \rightarrow P(\mathcal{X})$  *assigns a* reset map  $\mathcal{R}_e$  *to each edge*  $e \in \mathcal{E}$  *with*  $\mathcal{R}_e : \mathcal{X} \rightarrow P(\mathcal{X})$ *, that relates a state in the pre-mode to a set of states in the post-mode*<sup>1</sup>*.*<br>1. *edge e*  $\in$   $\mathcal E$  *with*  $\mathcal R_e: \mathcal X \to P(\mathcal X)$ *, that relates a state in the pre-mode to a set of states in the post-mode*[1](#page-1-2) *.*

<span id="page-1-2"></span><sup>1.</sup> For an edge  $e = (q, p)$ , we refer to  $q$  as the pre-mode of  $e$ , and  $p$  as the post-mode of *e*

The semantics of HA in terms of *(hybrid) trajectories* is defined in a standard way, please refer to (Zhan, Shuling, and Zhao [2017\)](#page-7-12) for a comprehensive introduction to HA.

Now, we can formulate the problems of interest as follows.

**Problem 1** (Reset Controller Synthesis)**.** *Given an HA* H *as Definition [1,](#page-1-3) we consider*

- **Problem 1.1:** for a given safe set  $S \subseteq Q \times X$ , whether one<br>can redefine  $\text{Init}$  and  $R$ , and obtain a redesigned  $HA$   $H' =$ <br> $(Q, X, f, \text{Init}^r, \text{Dom}, \mathcal{E}, \mathcal{G}, \mathcal{R}^r)$ , which is safe w.r.t. S.<br>• **Problem 1.2:** for a given *can redefine* Init *and* R*, and obtain a redesigned HA* H′ =  $(Q, X, \hat{f}, \texttt{Init}^r, \texttt{Dom}, \, \mathcal{E}, \mathcal{G}, \mathcal{R}^r),$  which is safe w.r.t.  $\mathcal{S}.$
- **Problem 1.2:** for a given safe set  $S \subseteq Q \times X$  and a target<br>set  $TR \subseteq Q \times X$ , whether one can redefine  $Init$  and  $R$ , and<br>obtain a redesigned  $HA$   $H' = (Q, X, f,Init', Dom, E, G, R')$ <br>s.t. for any  $(q, \mathbf{x}) \in Init'$ , any trajectory starting from *set* TR ⊆ Q × X *, whether one can redefine* Init *and* R*, and obtain a redesigned HA*  $\mathcal{H}' = (\mathcal{Q}, X, f, \text{Init}', \text{Dom}, \mathcal{E}, \mathcal{G}, \mathcal{R}^r)$ *, s.t. for any*  $(q, \mathbf{x}) \in \texttt{Init}^r$ *, any trajectory starting from*  $(q, \mathbf{x})$ must reach  $\mathcal{T}$  , and  $\mathcal{H}'$  is safe w.r.t.  $\mathcal S$  before reaching into  $\mathcal{\mathcal{T}}$  .

To address the above two problems, the following notions are needed.

**Definition 2** (Transverse Set)**.** *Given a vector field* f *and a set*  $S \subseteq \mathbb{R}^n$ , the transverse set *of S w.r.t.*  $\mathbf{f}$ *, denoted by*  $\mathtt{trans}_{\mathbf{f}\uparrow S}$  *of* f *over S, is defined by*

$$
\text{trans}_{\mathbf{f}\uparrow S} \widehat{=} \left\{ \mathbf{x} \in \partial S \mid \forall \epsilon > 0, \exists t \in [0, \epsilon), \phi(\mathbf{x}, t) \notin S \right\}
$$

*where* ∂*S is the boundary of S.*

Intuitively, any trajectory starting from *the transverse set* of *S* w.r.t. f will leave *S* immediately. For example, in Fig. [2,](#page-2-0)  $\mathbf{x}_2 \in \mathtt{trans}_{\mathbf{f}\uparrow S}, \, \mathbf{x}_3 \in \mathtt{trans}_{\mathbf{f}\uparrow S}, \, \mathbf{x}_4 \in \mathtt{trans}_{\mathbf{f}\uparrow S}, \, \text{but } \mathbf{x}_1 \notin \mathcal{S}$ transf↑*<sup>S</sup>* . Clearly, if transf↑*<sup>S</sup>* is empty, then any trajectory

<span id="page-2-0"></span>

**Figure 2.** An example of transverse set. The arrows indicate the vector field of f. The area within the black square is a safe area *S*. The dotted line on the lower border of the square indicates that this part of the boundary is not within the safe area.

starting from *S* stays within *S* forever, which implies *S* is a differential invariant (see Definition [3\)](#page-2-1).

<span id="page-2-1"></span>**Definition 3** (Differential Invariant (DI))**.** *A set C is a differential invariant of vector field*  $f$  *w.r.t. a set S if for all*  $x \in C$  *and*  $T \geq 0$ 

$$
\begin{pmatrix} \forall t \in [0, T]. \\ \Phi(\mathbf{x}, t) \in S \end{pmatrix} \implies \begin{pmatrix} \forall t \in [0, T]. \\ \Phi(\mathbf{x}, t) \in C \end{pmatrix}
$$

In other words,  $\text{trans}_{\text{f} \uparrow S \cap C} = \emptyset$ . Clearly, if  $S \subseteq C$ , then *C* is a DI of f w.r.t. *S*. Normally, we are only interested in such DIs that are subsets of the domain constraint *S*.

**Definition 4** (Reach-Avoid Set)**.** *Given a vector field* f*, an initial set* X0*, a safe set* S *and a target set* T *, the (maximal) reach-avoid* set  $\texttt{RA}(\mathcal{X}_0 \xrightarrow{\texttt{S}} \mathcal{T})$  is defined by

$$
RA(\mathcal{X}_0 \frac{S}{f}, \mathcal{T})\widehat{=}
$$
\n
$$
\left\{\mathbf{x} \in \mathcal{X}_0 \cap S \middle| \begin{array}{c} \exists T \geq 0, \\ \forall t \in [0, T), \phi(\mathbf{x}, t) \in S \land \\ \forall \epsilon > 0, \exists t \in [T, T + \epsilon), \phi(\mathbf{x}, t) \in \mathcal{T} \end{array}\right\}
$$

For example, in Fig[.2,](#page-2-0) the blue shaded area (including the  $\operatorname{border}$ ) is RA( $\mathcal{S} \xrightarrow{S} \operatorname{trans}_{\mathbf{f} \uparrow \mathcal{S}}$ ).

**Problem 1.1** can be solved by requiring that in each mode  $q \in \mathcal{Q}$  any continuous flow from the initial set Init<sub>q</sub> either

- i) safely reaches the must-jump part of a jump eventually, that is  $\bigcup_{p \in \text{Post}(q)} \text{RA}(\text{SD}_q \frac{\text{SD}_q}{f_q})$  $Dom_q^c \cap \mathcal{G}_{e=(q,p)}$ )), where  $SD_q =$ Dom*<sup>q</sup>* ∩ *Sq*, Post(*q*) stands for the set of modes to which there is a jump from  $q$ , and Dom<sub> $q$ </sub> for the complement of Dom*q*; or
- ii) stays inside the mode forever and subject to the safety constraint, that is  $SD_q \setminus RA(SD_q \xrightarrow{SD_q} trans_{f_q \uparrow SD_q}).$

Obviously, i) corresponds to a reach-avoid problem, which considers how to compute the maximal set of initial states s.t. flows starting from them reach the target eventually while remaining inside the safe set before the reach. As showed in [\(Liu et al. 2023\)](#page-6-7), by introducing a template, whose 0 sublevel set is an inner-approximation of the reach-avoid set, the maximal reach-avoid set of polynomial hybrid automata can be inner-approximated by solving a certain convex programming problem, which can be done using off-the-shell SDP solvers. After that, new reset maps corresponding to the jump are also synthesized to guarantee safety in the post-mode. While, ii) corresponds to a differential invariant generation problem, which can be solved relatively well by exploiting existing methods, e.g., (Liu, Zhan, and Zhao [2011;](#page-6-29) Ghorbal and Platzer [2014;](#page-6-30) Xue et al. [2019;](#page-7-13) Q. Wang et al. [2022\)](#page-7-14).

For example, consider a given HA and a safe set as in Fig. [3.](#page-3-0) In the first step, we compute the must-jump parts respectively in *q*<sup>1</sup> and *q*<sup>2</sup> by computing the corresponding reach-avoid sets, and obtain

$$
\begin{aligned} \text{RA}_1 &= \text{RA}(\text{SD}_{q_1} \xrightarrow[\mathbf{f}_{q_1}]{\text{SD}_{q_1}} \text{trans}_{\mathbf{f}_{q_1}} \uparrow \text{SD}_{q_1}^c \cap \mathcal{G}_{e_1}), \\ \text{RA}_2 &= \text{RA}(\text{SD}_{q_2} \xrightarrow[\mathbf{f}_{q_2}]{\text{SD}_{q_2}} \text{trans}_{\mathbf{f}_{q_2}} \uparrow \text{SD}_{q_2}^c \cap \mathcal{G}_{e_2}) \end{aligned}
$$

In the second step, we can compute DIs respectively in *q*<sup>1</sup> and *q*<sup>2</sup> by computing the corresponding transverse set, and obtain

$$
DI_1 = SD_{q_1} \setminus RA(SD_{q_1} \xrightarrow{\text{SD}_{q_1}} \text{trans}_{\mathbf{f}_{q_1}} \uparrow \text{sp}_{q_1}),
$$
  

$$
DI_2 = SD_{q_2} \setminus RA(SD_{q_2} \xrightarrow{\text{SD}_{q_2}} \text{trans}_{\mathbf{f}_{q_2}} \uparrow \text{sp}_{q_2})
$$

Finally, we can redefine the initial set and reset map as follows:

$$
\begin{aligned}\n\text{Init}_{q_1}^r &= \text{Init}_{q_1} \cap (\text{DI}_1 \cup \text{RA}_1), \\
\text{Init}_{q_2}^r &= \text{Init}_{q_2} \cap (\text{DI}_2 \cup \text{RA}_2), \\
\mathcal{R}_{e_1}^r(x) &\subseteq \text{DI}_2 \cup \text{RA}_2 \,\forall x \in \mathcal{G}_{e_1}, \\
\mathcal{R}_{e_2}^r(x) &\subseteq \text{DI}_1 \cup \text{RA}_1 \,\forall x \in \mathcal{G}_{e_2}\n\end{aligned}
$$

The redefined HA is also shown in Fig. [3.](#page-3-0)

<span id="page-3-0"></span>

**Figure 3.** An example for solving **Problem 1.1**. The areas enclosed by black squares represent the intersection of the domain and the safe set, denoted as SD*q*. The regions enclosed by orange circles indicate the initial sets, while those enclosed by blue circles represent the guard conditions. The red regions denote the differential invariants of the respective modes, while the green regions signify the reach-avoid sets.

**Problem 1.2** In this case, step i) becomes more involved, as a flow may also reach the target set of the current mode, but it is still a reach-avoid problem and can thus be treated similarly. Furthermore, a non-trivial liveness constraint rules out the case of ii). However, an additional problem must be addressed, i.e., how to avoid the unreachability caused by infinite loops among the modes. This problem can be solved by searching and blocking all simple loops among the modes.

For example, to synthesize a reset controller for the HA given in Fig. [4](#page-3-1) with the given safe and target set, we have to

- block all trajectories that can reach  $q_3$ , as  $\mathcal{T}_{q_3} = \emptyset$ , which implies the liveness cannot be satisfied along these trajectories;
- block all trajectories with a simple loop containing  $q_0, q_1$ • block all trajectories with a simple loop containing  $q_0$ ,  $q_1$  and  $q_2$ , as such trajectories could evolve infinitely along the loop, and never reach the target.<br>We omit the technical details of how to implement the and *q*2, as such trajectories could evolve infinitely along the loop, and never reach the target.

We omit the technical details of how to implement the above details can be found in [\(Liu et al. 2023\)](#page-6-7).

<span id="page-3-1"></span>

**Figure 4.** An example of solving **Problem 1.2**

#### **Reset controller synthesis with time-delay**

*Time-delay* is inevitable in the design of CPS, because of

- 
- 
- conversions between analog and digital signal domains,<br>• complex digital signal-processing chains enhancing,<br>• filtering and fusing sensory signals before they enter co<br>trol,<br>• sensor networks harvesting multiple sensor trol,
- complex digital signal-processing chains enhancing,<br>• filtering and fusing sensory signals before they enter<br>trol,<br>• sensor networks harvesting multiple sensor sources b<br>• feeding them to control,<br>• network delays in net • filtering and fusing sensory signals before they enter con-<br>trol,<br>• sensor networks harvesting multiple sensor sources before<br>feeding them to control,<br>• network delays in networked control applications physi-<br>cally remov feeding them to control,
- sensor networks harvesting multiple sensor sources before<br>feeding them to control,<br>• network delays in networked control applications physically removing the controller(s) from the control path, and<br>just name a few. • network delays in networked control applications physically removing the controller(s) from the control path, and just name a few.<br>he delay-free assumption makes the problem mathematically mple, but physically impossible cally removing the controller(s) from the control path, and just name a few.

The delay-free assumption makes the problem mathematically simple, but physically impossible, even impractical, as it may lead to deteriorated control performance and invalid verification certificates obtained by abstracting away time-delay in practice. So, realistically, we should consider this issue in the context of time-delay like delay hybrid automata (Bai et al. [2021\)](#page-6-31) so that the time spent by the reset controller can be modeled as time delay and thus it can be taken into account. Thus, we investigate the reset controller synthesis problem for delay hybrid systems (dHS), which contains delay in both continuous evolution and discrete transitions, and propose a novel reach-avoid analysis based method.

Reach-avoid for delay differential equations (DDE) Consider a DDE of the form

<span id="page-3-2"></span>
$$
\dot{\mathbf{x}(t)} = \mathbf{f}(\mathbf{x}(t), \mathbf{x}(t-\tau)), \quad \mathbf{f} \in \mathbb{R} \left[ \mathbf{x}(t), \mathbf{x}(t-\tau) \right]^n \qquad (1)
$$

a safe set  $\mathcal{S} \in \mathbb{R}^n$  and a target set  $\mathcal{T} \in \mathbb{R}^n$ , a (the maximal) reach-avoid set  $\mathcal{R}A(f, \mathcal{S}, \mathcal{T})$  is defined as

$$
\mathcal{R}\mathcal{A}(\mathbf{f}, \mathcal{S}, \mathcal{T}) \cong \left\{\varphi \in \mathcal{C}([-\tau, 0], \mathcal{S}) \middle| \begin{array}{c} \exists t' \in \mathbb{R}, \\ x^{\varphi}(t') \in \mathcal{T} \land \\ \forall t \in [-\tau, t'), \ x^{\varphi}(t) \in \mathcal{S} \end{array}\right\}
$$

where  $C([-\tau, 0], S)$  stands for the set of all continuous functions from [–τ, 0] to  $\mathcal{S},x^{\Phi}$  denote the trajectory of [\(1\)](#page-3-2) with initial function ϕ.

**Definition 5** (Reach-Avoid Barrier Functional (RABFal))**.** *Given a DDE of the form [\(1\)](#page-3-2) with domain*  $D \subseteq \mathbb{R}^n$ *, safe set* S and target set  $\mathcal T$  represented by

$$
S \widehat{\equiv} \{ \mathbf{x} \in D \mid s(\mathbf{x}) \le 0 \},
$$
  

$$
\mathcal{T} \widehat{\equiv} \{ \mathbf{x} \in D \mid g(\mathbf{x}) \le 0 \},
$$

*we call H* :  $C([- \tau, 0], D) \rightarrow \mathbb{R}$  *a* reach-avoid barrier functional *if we can find a bounded function*  $w: D \to \mathbb{R}$  *such that the following conditions are satisfied:*

$$
-\frac{dH(\mathbf{x}_t)}{dt} \geq 0, \ \forall \mathbf{x}_t \in \mathcal{C}([-\tau, 0], \mathcal{S})
$$
 (2)

$$
H(\mathbf{x}_t) \geq 0, \ \forall \, \mathbf{x}_t \in \mathcal{C}([-\tau, 0], \mathcal{S}), \ \text{s.t.} \ \mathbf{x}_t(0) \in \partial \mathcal{S} \tag{3}
$$

$$
H(\mathbf{x}_t) - \frac{dw(\mathbf{x}_t(0))}{dt} \ge g(\mathbf{x}_t(0)), \ \forall \ \mathbf{x}_t \in C([-\tau, 0], \mathcal{S}) \quad (4)
$$

**Theorem 1** [\(Su et al. 2023\)](#page-6-28)**.** *Given a DDE of the form* [\(1\)](#page-3-2)*, safe set* S *and target set* T *, the set* RA*in defined by the 0-sublevel set of H, i.e.,*

$$
\mathcal{R}A_{in} \cong \{ \Phi \in \mathcal{C}([-\tau,0],\mathcal{S}) \mid H(\Phi) < 0 \} \tag{5}
$$

*is an inner-approximation of*  $\mathcal{R}A(f, \mathcal{S}, \mathcal{T})$ *, i.e.,*  $\mathcal{R}A_{in} \subseteq \mathcal{R}A(f, \mathcal{S}afe, \mathcal{T})$ *.* 

In [\(Su et al. 2023\)](#page-6-28), it is proved that synthesizing such RAB-Fal can be reduced to solving SDP.

<span id="page-4-0"></span>**Definition 6** (Delay Hybrid Automata (dHA) (Bai et al. [2021\)](#page-6-31))**.** *A* dHA  $H$  *is a tuple*  $(Q, X, \text{Init}, \text{Dom}, \mathbf{f}, \mathcal{E}, \mathcal{G}, \mathcal{R}, ST)$ *, where* 

- 
- $as \mathbf{x} = (x_1, \ldots, x_n) \in \mathbb{R}^n;$
- $Q = \{q_1, \ldots, q_m\}$  *is a finite set of modes;*<br>•  $X = \{x_1, \ldots, x_n\}$  *is a set of continuous sta*<br>  $as \mathbf{x} = (x_1, \ldots, x_n) \in \mathbb{R}^n$ ;<br>
 Init  $\subseteq Q \times C([- \tau, 0], \mathbb{R}^n)$  *assigns a seach mode;*<br>
 Dom ·  $Q \to 2^{\mathbb{R}^n}$  defi •  $X = \{x_1, \ldots, x_n\}$  *is a set of continuous state; variables, written*<br>  $as \mathbf{x} = (x_1, \ldots, x_n) \in \mathbb{R}^n$ ;<br>
•  $\text{Init} \subseteq \mathcal{Q} \times \mathcal{C}([-\tau, 0], \mathbb{R}^n)$  *assigns a set of initial states to*<br> *each mode*;<br>
•  $\text{Dom} : \mathcal{Q} \to 2^$ *n* ) *assigns a set of initial states to each mode;*
- Init  $\subseteq \mathcal{Q} \times \mathcal{C}([-\tau, 0], \mathbb{R})$ <br>
each mode;<br>
 Dom :  $\mathcal{Q} \to 2^{\mathbb{R}^n}$  defines a<br>  $q \in \mathcal{Q}$ , denoted by Dom $q \subseteq$ <br>
  $f : \mathcal{Q} \to (\mathcal{C}([-\tau, 0], \mathbb{R}^n))$ <br>
 namics with delay for each vertex R*n defines a domain constraint for each mode*  $q \in \mathcal{Q}$ , denoted by  $\widetilde{\mathtt{Dom}}_q \subseteq \mathbb{R}^n$
- Dom :  $Q \rightarrow 2$ <br>
  $q \in Q$ , denoted<br>
  $f : Q \rightarrow (C([-n])$ <br> *namics with del*<br>  $C([-T, 0], \mathbb{R}^n)$  $\hat{p}^{(n)} \to \mathbb{R}^n$  defines the continuous dy*namics with delay for each mode q, denoted by* f*<sup>q</sup> with the type*  $C([-\tau, 0], \mathbb{R}^n) \to \mathbb{R}^n;$
- $\mathcal{E} \subseteq \mathcal{Q} \times \mathcal{Q}$  is a set of discrete transitions;
- $\mathbb{R}^n$  assigns a switching guard  $\mathcal{G}_e \subseteq \mathbb{R}^n$  to each *discrete transition*  $e \in \mathcal{E}$ ;
- $\mathcal{E} \subseteq \mathcal{Q} \times \mathcal{Q}$  *is a set of discrete transitions;*<br>•  $\mathcal{G} : \mathcal{E} \rightarrow 2^{\mathbb{R}^n}$  *assigns a switching guari*<br>*discrete transition e*  $\in \mathcal{E}$ ;<br>•  $\mathcal{R} : \mathcal{E} \rightarrow (\mathbb{R}^n \rightarrow \mathcal{C}([-\tau, 0], \mathbb{R}^n))$  *assigns a* •  $G : \mathcal{E} \rightarrow 2$ <br>discrete transi<br>•  $\mathcal{R} : \mathcal{E} \rightarrow (\mathbb{R})$ <br>each discrete i<br>•  $ST \subseteq \mathcal{E} \times \mathbb{R}$ •  $\mathcal{R}: \mathcal{E} \to (\mathbb{R}^n \to \mathcal{C}([-\tau, 0], \mathbb{R}^n))$  assigns a reset function  $\mathcal{R}_e$  to<br>each discrete transition  $e \in \mathcal{E}$  with  $\mathcal{R}_e : \mathbb{R}^n \to \mathcal{C}([-\tau, 0], \mathbb{R}^n)$ ;<br>•  $ST \subseteq \mathcal{E} \times \mathbb{R}$  assigns a switching time *each discrete transition*  $e \in \mathcal{E}$  *with*  $\mathcal{R}_e : \mathbb{R}^n \to \mathcal{C}([-\tau,0],\mathbb{R}^n);$
- $e \in \mathcal{E}$ .

• *ST* ⊆ *E* ×  $ℝ$  *assigns a switching time to each discrete transition*<br> *e* ∈ *E*.<br> **Problem 2** (Reset Controller Synthesis for dHA). Given a<br> *dHA H* as Definition 6, for a given compact safe set *S* ⊆ Q × *X*<br> *a* **Problem 2** (Reset Controller Synthesis for dHA)**.** *Given a dHA H as Definition* [6,](#page-4-0) for a given compact safe set  $S \subseteq Q \times X$  $Init<sup>r</sup>$  and  $\mathcal{R}$ <sup>r</sup> such that all executions of the redesigned dHA  $\mathcal{H}$ <sup>r</sup> =  $(Q, X, \texttt{Init}, \texttt{Dom}, \textbf{f}, \mathcal{E}, \mathcal{G}, \mathcal{R}^r, \texttt{Init}^r, \texttt{ST})$  *will reach*  $\mathcal T$  *while stay in* S *before that.*

With the above notions and notations, **Problem 2** can be solved quite similarly to **Problem 1.2**, we will use the following example to illustrate the procedure, the details can be found in [Su et al. 2023.](#page-6-28)

As an illustrative example, consider a dHA given by Fig. [5.](#page-4-1) The synthesis procedure can be sketched by the following four steps:

<span id="page-4-1"></span>

**Figure 5.** A Running Example of dHA

**Step 1:** First, compute the reach-avoid set for each mode w.r.t. the target and the guards of the outgoing jumps from it, and then partition a mode into several sub-modes so that their reach-avoid sets are mutually disjoint. For instance, for the running example, as shown in Fig [6,](#page-4-2) *q*<sup>1</sup> is split into three sub-modes *q*11, *q*<sup>12</sup> and *q*13, their reach-avoid sets are computed as below:

$$
\mathcal{R}A_{in}(1, 1) \cong \text{RA}(\text{SD}_{q_1} \xrightarrow{\text{SD}_{q_1}} g_{11} \cap \text{Dom}_{q_1}^c)
$$
  

$$
\mathcal{R}A_{in}(1, 2) \cong \text{RA}(\text{SD}_{q_1} \xrightarrow{\text{SD}_{q_1}} g_{12} \cap \text{Dom}_{q_1}^c)
$$
  

$$
\mathcal{R}A_{in}(1, 3) \cong \text{RA}(\text{SD}_{q_1} \xrightarrow{\text{SD}_{q_1}} g_{13} \cap \text{Dom}_{q_1}^c)
$$

<span id="page-4-2"></span>

**Figure 6.** Mode partition of *q*1. On the left side, we have mode *q*<sup>1</sup> with guard conditions  $\mathcal{G}(e_1)$  and  $\mathcal{G}(e_2)$  represented by blue slashes, and their intersection is depicted by orange slashes. The reach-avoid set to  $\mathcal{G}(e_1) \cup \mathcal{G}(e_2)$  can be partitioned into three disjoint regions: *g*11, *g*12, and *g*13, as shown above. Accordingly, mode *q*<sup>1</sup> is partitioned into three sub-modes: *q*11, *q*12, and *q*13.

With the same manner, the partition of mode *q*<sup>3</sup> is shown

in Fig [7.](#page-5-0) Their reach-avoid set are computed as below:

$$
\mathcal{R}A_{in}(3,0) \cong \text{RA}(\text{SD}_{q_3} \xrightarrow{\text{SD}_{q_3}} g_{30})
$$

$$
\mathcal{R}A_{in}(3,1) \cong \text{RA}(\text{SD}_{q_3} \xrightarrow{\text{SD}_{q_3}} g_{31} \cap \text{Dom}_{q_3}^c)
$$

<span id="page-5-0"></span>

**Figure 7.** Mode partition of  $q_3$ . The left side is mode  $q_3$  with the guard condition  $\mathcal{G}_{e_3}$  (blue slashes) and the target set  $\mathcal{T}_{q_3}$  (green slashes). Correspondingly,  $q_3$  is partitioned into two sub-modes:  $q_{30}$  with  $g_{30}$  =  $\mathcal{T}_{q_3},$  and  $q_{31}$  with  $g_{31} = G_{e_3}.$ 

Second, introduce necessary jumps between these submodes. Let's consider *q*<sup>31</sup> in the running example, edges from  $q_{31}$  to the sub-modes of  $q_1$  are introduced, i.e., including (*q*31, *q*11), (*q*31, *q*12) and (*q*31, *q*13).

Third, define a reset map for each introduced edge. Continue the above example, we have

$$
\mathcal{R}_{(q_{31},q_{11})}^{m}(\mathbf{x}) \subseteq \mathcal{R}\mathcal{A}_{in}(1,1), \qquad \forall \mathbf{x} \in g_{31}
$$
\n
$$
\mathcal{R}_{(q_{31},q_{12})}^{m}(\mathbf{x}) \subseteq \mathcal{R}\mathcal{A}_{in}(1,2), \qquad \forall \mathbf{x} \in g_{31}
$$
\n
$$
\mathcal{R}_{(q_{31},q_{13})}^{m}(\mathbf{x}) \subseteq \mathcal{R}\mathcal{A}_{in}(1,3), \qquad \forall \mathbf{x} \in g_{31}
$$
\n
$$
\mathcal{R}_{(q_{13},q_{30})}^{m}(\mathbf{x}) \subseteq \mathcal{R}\mathcal{A}_{in}(3,0), \qquad \forall \mathbf{x} \in g_{13}
$$
\n
$$
\mathcal{R}_{(q_{13},q_{31})}^{m}(\mathbf{x}) \subseteq \mathcal{R}\mathcal{A}_{in}(3,1), \qquad \forall \mathbf{x} \in g_{13}
$$
\n...

<span id="page-5-1"></span>**Step 2:** Abstract away continuous dynamics in each resulted mode, and obtain a discrete directed graph (DDG). For the running example, it results a DDG given in Fig [8.](#page-5-1)



**Figure 8.** The resulting discrete directed graph

<span id="page-5-2"></span>**Step 3:** Prune unsatisfied paths in the DDG, which are either unreachable like  $\langle q_{14}, q_2 \rangle$  and  $\langle q_{31}, q_{12}, q_2 \rangle$  or simple loops like  $\langle q_{14}, q_{31}, q_{14} \rangle$ . The DDG after pruning is depicted in Fig. [9,](#page-5-2) where only two edges (*e*11,*e*12) are left.



**Figure 9.** The discrete directed graph after edges prunning

**Step 4:** Synthesize a reset controller from the resulted DDG. Continue the running example, we obtain

$$
\mathcal{R}_{e_2}^r(x) = \mathcal{R}_{e_{12}}^m(\mathbf{x}), \qquad \forall x \in \mathcal{G}_{e_{12}}^m = \mathcal{G}_{e_2} \setminus \mathcal{G}_{e_1}
$$
  
\n
$$
\mathcal{R}_{e_2}^r(x) = \mathcal{R}_{e_{11}}^m(\mathbf{x}), \qquad \forall x \in \mathcal{G}_{e_{11}}^m = \mathcal{G}_{e_2} \cap \mathcal{G}_{e_1}
$$
  
\n
$$
\text{Init}_{q_1}^r = \text{Init}_{q_{12}}^m \cup \text{Init}_{q_{13}}^m.
$$

#### **Conclusion**

In summary, we sketched our recent work on reset controller synthesis, including

- how to reduce the problem of synthesizing reset controllers<br>w.r.t. safety and liveness constraints to reach-avoid set com-<br>putation and differential invariant generation problems;<br>bow to inner-approximate reach-avoid set w.r.t. safety and liveness constraints to reach-avoid set computation and differential invariant generation problems;
- how to inner-approximate reach-avoid sets by solving certain convex programming problems, which can be efficiently conducted using off-the-shell SDP solvers;<br>• how to synthesize reset controller for dHSs by reducing it i tain convex programming problems, which can be efficiently conducted using off-the-shell SDP solvers;
- how to synthesize reset controller for dHSs by reducing it into reach-avoid analysis for DDE and depth-first-search with block for discrete-event dynamics.<br>Regarding future work, we emphasize the following topics ong thi with block for discrete-event dynamics.

Regarding future work, we emphasize the following topics along this research line:

- To extend our approach to more general hybrid systems<br>with more complicated vector fields, e.g., probabilistic and<br>stochastic behavior, the combination of time-delay and<br>stochasticity, and so on.<br>• To investigate potenti with more complicated vector fields, e.g., probabilistic and stochastic behavior, the combination of time-delay and stochasticity, and so on.
- To investigate potential correct-by-construction frame-<br>works for HSs by taking feedback controller synthesis,<br>switching logic controller synthesis, and reset controller<br>synthesis into account uniformly.<br>To integrate rec works for HSs by taking feedback controller synthesis, switching logic controller synthesis, and reset controller synthesis into account uniformly.
- To integrate recent advances on differential invariant generation by reduction non-convex programming to SDP e.g. in (Q. Wang et al. 2021, 2022) into our synthesis framework.<br>• To conduct more complicated and practical c eration by reduction non-convex programming to SDP e.g. in (Q. Wang et al. [2021,](#page-7-15) [2022\)](#page-7-14) into our synthesis framework.
- 

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Conflicts of Interest None

Code & Data Availability All code can be found in GitHub: [https://github.com/Han-SU/Reset-Controller-Synthesis.git.](https://github.com/Han-SU/Reset-Controller-Synthesis.git)

Ethics Statement Ethical approval was obtained from the Ethics Committee of Peking University and University of Chinese Academy of Sciences. Study participants gave written informed consent to take part in the study.

Author Contribution Naijun Zhan, Mengfei Yang, and Bin Gu contributed the theoretical part, and Han Su contributed the experimental part of this paper.

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