Notations and definitions	Main observation	$\omega$ -models of second order arithmetics	Deep $\Pi_1^0$ class

# Dimensionality and Randomness

## Xiaoyan Zhang

State Key Lab of Computer Science Institute of Software, Chinese Academy of Sciences

NUS Logic summer school July 11, 2024

Joint work with George Barmpalias

Notations and definitions ●0	Main observation	$\omega$ -models of second order arithmetics 00	Deep $\Pi^0_1$ class

**Notations.** We use  $\sigma, \tau$  to denote finite binary sequences (strings). Let  $2^n$  be the set of strings of length n. We use x, y to denote infinite binary sequences (reals). Let  $x \upharpoonright_n$  be the first n bits of x. Let  $\prec$  be the prefix relation among strings and reals.

Notations and definitions	Main observation	$\omega$ -models of second order arithmetics	Deep $\Pi_1^0$ class
00			

### Definition

Let *U* be an universal prefix-free Turing machine. The **Kolmogorov Complexity** of a string  $\sigma$  is the length of the shortest program that outputs  $\sigma$ , i.e.

$$K(\sigma) := \min\{|\tau| : U(\tau) = \sigma\}.$$

The **deficiency** of  $\sigma$  is the number of bits it can be best compressed, i.e.  $d(\sigma) := |\sigma| - K(\sigma)$ . The **deficiency** of a set of strings *V* is  $d(V) = \sup_{\sigma \in V} d(\sigma)$ . A set of strings *V* is **incompressible** if  $d(V) < \infty$ .

Notations and definitions	Main observation	$\omega$ -models of second order arithmetics	Deep $\Pi_1^0$ class
00			

### Definition

Let *U* be an universal prefix-free Turing machine. The **Kolmogorov Complexity** of a string  $\sigma$  is the length of the shortest program that outputs  $\sigma$ , i.e.

$$K(\sigma) := \min\{|\tau| : U(\tau) = \sigma\}.$$

The **deficiency** of  $\sigma$  is the number of bits it can be best compressed, i.e.  $d(\sigma) := |\sigma| - K(\sigma)$ . The **deficiency** of a set of strings V is  $d(V) = \sup_{\sigma \in V} d(\sigma)$ . A set of strings V is **incompressible** if  $d(V) < \infty$ .

#### Definition

A real x is random if  $K(x \upharpoonright_n) \ge^+ n$ . Equivalently, x is random if  $\{x \upharpoonright_n : n \in \omega\}$  is incompressible.

## Xiaoyan Zhang

Dimensionality and Randomness

Notations and definitions 00	Main observation ●000	$\omega\text{-models}$ of second order arithmetics $_{\rm OO}$	Deep $\Pi_1^0$ class

## Observation

Among incomplete incompressible sets, a **thin** one cannot compute a **fat** one.

Notations and definitions	Main observation	$\omega$ -models of second order arithmetics	Deep Π <sub>1</sub> <sup>0</sup> class
	0000		

### Observation

Among incomplete incompressible sets, a **thin** one cannot compute a **fat** one.

#### Example

Let x = 0.011 0.001 0.001 0.001 0.000 be an incomplete random real, let

 $x_0 = 1011\ 0011\ 0001\ 0110\ \cdots$   $x_1 = 0111\ 0011\ 0001\ 0110\ \cdots$   $x_2 = 0001\ 0011\ 0001\ 0110\ \cdots$ Let  $V = \{x \upharpoonright_n : n \in \omega\}, W_i = \{x_i \upharpoonright_n : n \in \omega\}$  and  $W = \bigcup W_i$ . *V* is "thin" in the sense that  $|V \cap 2^n| = 1$ ; *W* is "fat" in the sense that  $|W \cap 2^n| = n$ ; Each  $x_i$  is random, so *V* and  $W_i$  are incompressible. However, *W* cannot be incompressible.

Xiaovan Zhang

Notations and definitions	Main observation	$\omega$ -models of second order arithmetics	Deep Π <sup>0</sup> <sub>1</sub> class
	0000		

## An order is a non-decreasing unbounded function.

Theorem (Barmpalias, Z., 2024)

An incomplete random real z cannot compute an incompressible set V with  $n \mapsto |V \cap 2^n|$  being an order.

Notations and definitions	Main observation	$\omega$ -models of second order arithmetics	Deep $\Pi_1^0$ class
	0000		

### An order is a non-decreasing unbounded function.

Theorem (Barmpalias, Z., 2024)

An incomplete random real z cannot compute an incompressible set V with  $n \mapsto |V \cap 2^n|$  being an order.

#### Proof Sketch.

Suppose otherwise, let  $\Phi$  be such that  $\Phi(z)$  is incompressible by constant k and  $n \mapsto |\Phi(z) \cap 2^n|$  is an order. Let  $P = \{x : d(\Phi(x)) \le k\}$  and  $\Phi^{-1}(\sigma) = \{x : \sigma \in \Phi(x)\}$ . By a Lemma,  $\mu(P \cap \Phi^{-1}(\sigma)) \le x 2^{-|\sigma|}$ . Let  $Q_n^m = \{x : |\Phi(x) \cap 2^n| \ge 2^m\}$ , then  $\mu(P \cap Q_n^m) \le x 2^{-m}$ . P is  $\Pi_1^0$  and  $Q_n^m$  is uniformly  $\Sigma_1^0$ .  $z \in P$  and for each m there is n with  $z \in Q_n^m$ . Use  $P \cap Q_n^m$  to carefully build a difference-test.

Notations and definitions	Main observation ○○●○	$\omega$ -models of second order arithmetics 00	Deep $\Pi_1^0$ class

# Theorem (Barmpalias, Z., 2024)

Every random real z computes an incompressible set V with  $n \mapsto |V \cap 2^n|$  unbounded.

Notations and definitions	Main observation 00●0	$\omega$ -models of second order arithmetics 00	Deep $\Pi_1^0$ class 000

## Theorem (Barmpalias, Z., 2024)

Every random real z computes an incompressible set V with  $n \mapsto |V \cap 2^n|$  unbounded.

#### Proof Sketch.

Use z as a random oracle. Randomly choose  $l_n \in [2^{n-1}, 2^n]$ , randomly choose n strings of length  $l_n$  and put them in V. Probability that we fail at level  $n \leq^{\times} 2^{-n}$ . Since z is random we only fail at finitely many n, so V is incompressible.

Notations and definitions	Main observation	$\omega$ -models of second order arithmetics 00	Deep $\Pi_1^0$ class

A set V is g-fat if 
$$\sup_{i < n} |V \cap 2^i| \ge g(n)$$
.

## Theorem (Barmpalias, Z., 2024)

If g is a computable order with  $\lim_{n} n/g(n) = 0$ , then an incomplete random real cannot compute an g-fat incompressible set.

## Theorem (Barmpalias, Z., 2024)

Every random real z computes an  $n/(\log n)^2$ -fat incompressible set.

Notations and definitions	Main observation 0000	Trees ●0	$\omega$ -models of second order arithmetics 00	Deep Π <sub>1</sub> class 000

A **tree** is a non-empty set of strings T such that  $\sigma \prec \tau$  and  $\tau \in T$  then  $\sigma \in T$ . A real x is a **path** of T if  $x \upharpoonright_n \in T$  for all n. Let [T] be the set of paths through T. A tree T is

**pruned** if each  $\sigma \in T$  has an extension in T;

All trees are assumed to be pruned.

Notations and definitions	Main observation 0000	Trees ●0	$\omega$ -models of second order arithmetics 00	Deep $\Pi_1^0$ class

A **tree** is a non-empty set of strings T such that  $\sigma \prec \tau$  and  $\tau \in T$  then  $\sigma \in T$ . A real x is a **path** of T if  $x \upharpoonright_n \in T$  for all n. Let [T] be the set of paths through T. A tree T is

**pruned** if each  $\sigma \in T$  has an extension in T;

All trees are assumed to be pruned. A tree T is

- **proper** if  $|T \cap 2^n|$  is unbounded;
- **perfect** if each  $\sigma \in T$  has two incomparable extensions in T;
- **positive** if  $|T \cap 2^n| \ge c \cdot 2^n$  for some c > 0.

Notations and definitions	Main observation 0000	Trees ○●	$\omega$ -models of second order arithmetics 00	Deep $\Pi_1^0$ class

# Corollary (Barmpalias, Z., 2024)

An incomplete random real z cannot compute a proper incompressible tree.

Notations and definitions	Main observation	Trees	$\omega$ -models of second order arithmetics	Deep $\Pi_1^0$ class
		00		

# Corollary (Barmpalias, Z., 2024)

An incomplete random real z cannot compute a proper incompressible tree.

## Theorem (Barmpalias, Wang, 2023)

There is a perfect incompressible tree which cannot compute any positive incompressible tree.

Notations and definitions	Main observation	Trees	$\omega$ -models of second order arithmetics	Deep $\Pi_1^0$ class
		00		

# Corollary (Barmpalias, Z., 2024)

An incomplete random real z cannot compute a proper incompressible tree.

## Theorem (Barmpalias, Wang, 2023)

There is a perfect incompressible tree which cannot compute any positive incompressible tree.

## Theorem (Barmpalias, Z., 2024)

There is a proper incompressible tree which cannot wtt-compute any perfect incompressible tree.

Notations and definitions 00	Main observation	$\omega$ -models of second order arithmetics $\bullet \circ$	Deep $\Pi_1^0$ class

Consider the following principles in RCA:

- WKL: every infinite tree has a path;
- P<sup>+</sup>: every positive tree has a positive perfect subtree;
- P: every positive tree has a perfect subtree;
- P<sup>-</sup>: every positive tree has a countable family of paths;
- WWKL: every positive tree has a path;

Notations and definitions 00	Main observation	$\omega$ -models of second order arithmetics $\bullet \circ$	Deep $\Pi_1^0$ class

Consider the following principles in RCA:

- WKL: every infinite tree has a path;
- P<sup>+</sup>: every positive tree has a positive perfect subtree;
- P: every positive tree has a perfect subtree;
- P<sup>-</sup>: every positive tree has a countable family of paths;
- WWKL: every positive tree has a path;

RCA proves WKL  $\rightarrow$  P<sup>+</sup>  $\rightarrow$  P  $\rightarrow$  P<sup>-</sup>  $\rightarrow$  WWKL.

Notations and definitions 00	Main observation 0000	$\omega$ -models of second order arithmetics $\bullet \circ$	Deep $\Pi_1^0$ class

Consider the following principles in RCA:

- WKL: every infinite tree has a path;
- P<sup>+</sup>: every positive tree has a positive perfect subtree;
- P: every positive tree has a perfect subtree;
- P<sup>-</sup>: every positive tree has a countable family of paths;
- WWKL: every positive tree has a path;

RCA proves WKL  $\rightarrow$  P<sup>+</sup>  $\rightarrow$  P  $\rightarrow$  P<sup>-</sup>  $\rightarrow$  WWKL.

## Theorem (Barmpalias, Wang, 2023)

Each of the following extensions of RCA has an  $\omega$ -model:

• WWKL + 
$$\neg P^-$$
;

$$\bullet P + \neg P^+;$$

• 
$$P^+ + \neg WKL$$
.

Notati 00	ons and definitions	0000	00	ω-models of second order arithmetics ⊙●	000
	Question				
	Is there an $\omega$	-model of RCA	A + P-	$^-$ + $\neg P$ , i.e. an $\omega$ -model	where
	every positive	e tree has a co	ountable	family of paths, but some	•

positive tree does not have a perfect subtree?

Notations and definitions 00	Main observation	$\omega$ -models of second order arithmetics $\circ \bullet$	Deep $\Pi_1^0$ class

#### Question

Is there an  $\omega$ -model of RCA + P<sup>-</sup> +  $\neg$ P, i.e. an  $\omega$ -model where every positive tree has a countable family of paths, but some positive tree does not have a perfect subtree?

## Conjecture

There is a proper incompressible tree which cannot compute any perfect incompressible tree.

Notations and definitions 00	Main observation	$\omega$ -models of second order arithmetics $\odot \bullet$	Deep $\Pi_1^0$ class

#### Question

Is there an  $\omega$ -model of RCA + P<sup>-</sup> +  $\neg$ P, i.e. an  $\omega$ -model where every positive tree has a countable family of paths, but some positive tree does not have a perfect subtree?

### Conjecture

There is a proper incompressible tree which cannot compute any perfect incompressible tree.

A tree is T skeletal if there is  $x \in [T]$  such that  $\sigma \in T$  has two incomparable extensions in T if and only if  $\sigma \prec x$ .

## Conjecture

A skeletal incompressible tree cannot compute a perfect incompressible tree unless it is complete.

Xiaoyan Zhang

Notations and definitions Main observation Trees  $\omega$ -models of second order arithmetics Deep  $\Pi_1^0$  class oo oo oo oo oo

Let **M** be the universal left-c.e. continuous semi-measure. For a  $\Pi_1^0$  class *P* with associated co-c.e. tree *T*, *P* is **deep** if there is computable *f* such that

 $\mathsf{M}(T \cap 2^{f(n)}) \leq 2^{-n}.$ 

Notations and definitions Main observation Trees  $\omega$ -models of second order arithmetics Deep  $\Pi_1^0$  class 000 00 00 00 00 00

Let **M** be the universal left-c.e. continuous semi-measure. For a  $\Pi_1^0$  class *P* with associated co-c.e. tree *T*, *P* is **deep** if there is computable *f* such that

$$\mathsf{M}(T\cap 2^{f(n)})\leq 2^{-n}.$$

#### Example

- The class of effectively proper incompressible trees.
- The class of complete extensions of PA.

Notations and definitions Main observation Trees  $\omega$ -models of second order arithmetics **Deep**  $\Pi_1^0$  class oo oo 000

Let **M** be the universal left-c.e. continuous semi-measure. For a  $\Pi_1^0$  class *P* with associated co-c.e. tree *T*, *P* is **deep** if there is computable *f* such that

$$\mathsf{M}(T\cap 2^{f(n)})\leq 2^{-n}.$$

#### Example

- The class of effectively proper incompressible trees.
- The class of complete extensions of PA.

#### Theorem (Bienvenu, Porter, 2017)

An incomplete random cannot compute any member of any deep  $\Pi_1^0$  class.

Notations and definitions 00	Main observation	$\omega$ -models of second order arithmetics 00	Deep ∏ <sup>0</sup> class ○●○

### Fact

The class of effectively proper incompressible trees is a deep  $\Pi_1^0$  class.

However, the class of proper incompressible trees is a  $\Pi_2^0$  class.

Notations and definitions 00	Main observation	$\omega\text{-models}$ of second order arithmetics $_{\rm OO}$	Deep ∏ <sup>0</sup> class ○●○

#### Fact

The class of effectively proper incompressible trees is a deep  $\Pi_1^0$  class.

However, the class of proper incompressible trees is a  $\Pi_2^0$  class.

# Theorem (Barmpalias, Z., 2024)

There exists a perfect incompressible tree which is not a member of any deep  $\Pi_1^0$  class.

Notations and definitions	Main observation	$\omega$ -models of second order arithmetics 00	Deep ∏ <sup>0</sup> class 0●0

#### Fact

The class of effectively proper incompressible trees is a deep  $\Pi_1^0$  class.

However, the class of proper incompressible trees is a  $\Pi_2^0$  class.

# Theorem (Barmpalias, Z., 2024)

There exists a perfect incompressible tree which is not a member of any deep  $\Pi_1^0$  class.

## Question

Is there a reasonable way to define a deep  $\Pi_2^0$  class?

Notations and definitions	Main observation	$\omega$ -models of second order arithmetics 00	Deep $\Pi_1^0$ class

# Thanks!